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FACTS

WHAT DOES WELLMENT FINANCIAL DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- ☐ Social Security number, date of birth, telephone number and address
- ☐ Annual Income, Tax Bracket, Account Balances and Transaction History
- ☐ Net Worth, Assets, and Employment History

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Wellment Financial chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Wellment Financial share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes— to offer our products and services to you	YES	NO
For joint marketing with other financial companies	NO	N/A
For our affiliates' everyday business purposes— information about your transactions and experiences	YES	NO
For our affiliates' everyday business purposes— information about your creditworthiness	NO	N/A
For affiliates to market to you	NO	N/A
For nonaffiliates to market to you	NO	N/A

Questions? Call 630-993-8200 or go to https://wellment.com

Who we are	
Who is providing this notice?	Wellment Financial

What we do	
How does Wellment Financial protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Wellment Financial limits access to your information to those employees and service providers who are involved in offering or administering the products or services to you.
How does Wellment Financial collect my personal information?	We collect your personal information, for example, when you ■ Provide your Personal Information ■ Seek Investment Advice ■ Complete an Application We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account, I hold jointly with someone else?	Your choices will apply to everyone on your account—unless you tell us otherwise.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies. ■ *FSR Certified Public Accounts, LTD.* ■ *FSR Insurance Planning, Ltd.*
Nonaffiliates	Companies not related by common ownership or control. They can be financial and non-financial companies. ■ *Wellment does not share with non-affiliates so they can market to you.*
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ■ *Wellment does not jointly market.*

Other important information

For California Residents. In accordance with California law, we will not sell or share personal information we collect about you with non-affiliates, except as allowed by law. For example, we may share information with your consent or to service your accounts.